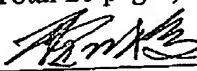


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**Certificate of Facsimile Transmission**

I hereby certify that the attached PTO form 1449 (3 pages) with cited references (15 pages), Information Disclosure Statement (1 page) and a copy of the Limited Recognition Under 37 CFR § 10.9(b) are submitted to the U.S. Patent and Trademark Office via facsimile number 703-872-9306 on the date shown below. (Total 20 pages).

  
Li Mei Vermilya

Date: February 22, 2005

PATENT APPLICATION  
Attorney's Do. No. 9898-300  
Client No. SS-18603-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: Ji-Young KIM

Serial No. 10/699,047 Examiner: Prenty, Mark V

Filed: October 30, 2003 Group Art Unit: 2822

Confirmation No. 1200

For: MOSFET HAVING RECESSED CHANNEL AND METHOD  
OF FABRICATING THE SAME

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
- ☒ Statement under 37 CFR 1.97 (e), or
- ☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final

Office Action)

- ☐ under 37 CFR 1.97 (d) together with:
- ☐ Statement under 37 CFR 1.97 (e), and
- ☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

PTO form 1449

1

MJM Docket No. 9898-300  
Client No. SS-18603-US

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A copy of the Limited Recognition Under 37 CFR § 10.9(b).

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Hosoon Lee  
Limited Recognition Under 37 CFR § 10.9(b)

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613

## PATENT APPLICATION

Atty. Docket No.: 9898-300 Serial No. 10/699,047  
Applicant: Ji-Young KIM  
Filing Date: October 30, 2003 Group: 2822

INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)U.S. PATENT DOCUMENTS

<u>Exa</u> <u>m</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub</u> <u>Class</u>
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FOREIGN PATENT DOCUMENTS

<u>Exa</u> <u>m</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	2000-0060693	Oct. 16, 00	Korea	Eung-Hwan MIN
_____	_____	2001-0064328	Jul. 9, 01	Korea	Hui-Don CHA

OTHER DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English language abstract of Korea Publication No. 2000-0060693
_____	_____	English language abstract of Korea Publication No. 2001-0064328

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

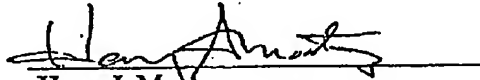
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Hosoon Lee is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Marger Johnson & McCollom, PC, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Marger Johnson & McCollom, PC, and a registered practitioner, who is a member of the law firm of Marger Johnson & McCollom, PC, is the practitioner of record in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hosoon Lee ceases to lawfully reside in the United States, (ii) Hosoon Lee's employment with the law firm of Marger Johnson & McCollom, PC, ceases or is terminated, or (iii) Hosoon Lee ceases to remain or reside in the United States, authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: July 16, 2005**

  
Harry I. Moatz  
Director of Enrollment and Discipline

PATENT APPLICATION  
Attorney's Do. No. 9898-300  
Client No. SS-18603-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT  
(37 CFR 1.97(e))

I, Hosoon Lee, the person signing below state that:  
each item of information contained in the Information Disclosure Statement submitted  
herewith was first cited in a communication from a foreign patent office in a counterpart  
foreign application not more than three months prior to the filing of this Statement

no information contained in the Information Disclosure Statement was cited in a  
communication from a foreign patent office in a counterpart foreign application and, to  
the knowledge of the person signing this Statement after making reasonable inquiry, was  
known to any individual designated in § 1.56(c) more than three months prior to the filing  
of the Statement.

Applicant respectfully requests consideration of the accompanying Information  
Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the  
information in the attorney's file.

Respectfully submitted,  
MARGER JOHNSON & McCOLLOM, P.C.



Hosoon Lee  
Limited Recognition Under 37 CFR § 10.9(b)

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
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503-222-3613

I hereby certify that this correspondence  
is being transmitted to the U.S. Patent and  
Trademark Office via facsimile number  
703-872-9306, on February 22, 2005.



Li Mei Vermilya